LAPS TOS'S FOT/PTO 30 MAR 2006

Atty. Dkt. No. SALK3140US-1 (088802-9803)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Downes et al.

Title:

STRUCTURE OF THE

FARNESOID X RECEPTOR LIGAND BINDING DOMAIN AND METHODS OF USE

THEREFOR

Appl. No.:

10/535,042

Filing Date: 05/13/2005

Examiner:

Not yet assigned

Art Unit:

1646

Conf. No.

2218

CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below. Stephen E. Reiter (Printed Name) March 27, 2006 (Date of Deposit)

INFORMATION DISCLOSURE STATEMENT **UNDER 37 CFR §1.56**

Mail Stop Amendment-IDS Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR

DLMR_281863.1.

§1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872.

Respectfully submitted,

FOLEY & LARDNER LLP

Telephone: Facsimile:

Customer Number: 30542 (858) 847-6711

(858) 792-6773

Stephen E. Reiter Attorney for Applicant

Registration No. 31,192

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT			/PTO	Complete if Known		
			SURE	Application Number	10/535,042	
			CANT	Filing Date	05/13/2005	
				First Named Inventor	Downes et al.	
				Group Art Unit	1646	
(use as many sheets as necessary)			cessary)	Examiner Name	Unknown	
Sheet	1	of	5	Attorney Docket Number	SALK3140US-1 (088802-9803)	

U.S. PATENT DOCUMENTS							
_	Cite No. ¹	U.S. Patent Document			Date of Publication of	Pages, Columns, Lines, Where Relevant	
Examiner Initials*		Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	
	A1	6184353		Evans	02-06-2001		
			1				

	U.S. PATENT APPLICATION DOCUMENTS								
F	Cite	U.S. Patent Application Document		Nome of Detentes or Applicant of	Filing Date of	Pages, Columns, Lines, Where Relevant			
Examiner Initials*	No.1	Serial Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear			

FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No. ¹	Fore Office ³	eign Patent D Number ⁴	ocument Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т6	
								-	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
	A2	Blumberg and Evans (1998). Orphan nuclear receptors—new ligands and new possibilities. Genes Dev. 12(20), 3149-55.	
	A3	Blumberg et al. (1998). SXR, a novel steroid and xenobiotic-sensing nuclear receptor. Genes Dev. 12(20), 3195-3205.	
	A3	Chiang (2002) Bile Acid regulation of gene expression: roles of nuclear hormone receptors. Endocr Rev. 23(4), 443-463.	

4				
ſ	Examiner	·	Date	
l	Signature		Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

¹ Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449B/PTO INFORMATION DISCLOSURE					Complete if Known
				Application Number	10/535,042
STATEMENT BY APPLICANT			CANT	Filing Date	05/13/2005
				First Named Inventor	Downes et al.
				Group Art Unit	1646
	(use as many sheets as necessary)			Examiner Name	Unknown
Sheet	2	of	5	Attorney Docket Number	SALK3140US-1 (088802-9803)

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
	A4	Egea et al. (2000). Crystal structure of the human RXRa ligand-binding domain to its natural ligand: 9-cis retinoic acid EMBO J. 19, 2592-2601.	
	A5	Evans RM. (1988) The steroid and thyroid hormone receptor superfamily. Science. 240(4854), 889-895.	
	A6	Forman et al. (1995). Identification of a nuclear receptor that is activated by farnesol metabolites. Cell 81, 687–693.	
	A7	Goodwin et al (2000). A regulatory cascade of the nuclear receptors FXR, SHP-1, and LRH-1 represses bile acid biosynthesis. Mol Cell. 6(3), 517-526.	
	A8	Grober et al., (1999) Identification of a bile acid-responsive element in the human ileal bile acid-binding protein gene. J Biol Chem. 274(42), 29749-54	
	A9	Jez et al. (2000) Dissection of malonyl-coenzyme A decarboxylation from polyketide formation in the reaction mechanism of a plant polyketide synthase. Biochemistry 39, 890-902.	
	A10	Kast et al. (2002). Regulation of multidrug resistance-associated protein 2 (ABCC2) by the nuclear receptors pregnane X receptor, farnesoid X-activated receptor, and constitutive androstane receptor. J Biol Chem. 277(4), 2908-15.	
	A11	Laffitte et al. (2000). Identification of the DNA binding specificity and potential target genes for the farnesoid X-activated receptor. J Biol Chem. 275(14), 10638-47	

Examiner	Date	
Signature	Considered	
3		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁸Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Substitute for form 1449B/PTO			Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			RE	Application Number	10/535,042	
			IT	Filing Date	05/13/2005	
				First Named Inventor	Downes et al.	
				Group Art Unit	1646	
(use as many sheets as necessary)			sary)	Examiner Name	Unknown	
Sheet	3	of 5		Attorney Docket Number	SALK3140US-1 (088802-9803)	

		NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	No.1 litem (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number publisher, city and/or country where published.						
	A12	Lattman, Use of the rotation and translation functions. <i>Meth. Enzymol.</i> 115:55-77 (1985)					
	A13	Makishima et al, (1999) Identification of a nuclear receptor for bile acids. Science. 284(5418), 1362-5					
	A14	McPherson, Crystallization of proteins from polyethylene glycol. <i>J. Biol. Chem.</i> 251 :6300-6303 (1976)					
	A15	Nicolaou et al. (2000). Natural product-like combinatorial libraries based on privileged structures. 1. General principles and solid-phase synthesis of benzopyrans. J. Am. Chem. Soc. 122, 9939 – 9953 (2000)					
	A16	Nicolaou et al. (2000). Natural product-like combinatorial libraries based on privileged structures. 2. Construction of a 10 000-membered benzopyran library by directed split-and-pool chemistry using nanoKans and optical encoding. J. Am. Chem. Soc. 122, 9954 – 9967 (2000)					
	A17	Nicolaou et al. (2000). Natural product-like combinatorial libraries based on privileged structures. 3. The "Libraries from Libraries" principle for diversity enhancement of benzopyran libraries. J. Am. Chem. Soc. 122, 9968 – 9976 (2000)					
	A18	Parks et al. (1999). Bile acids: natural ligands for an orphan nuclear receptor. Science. 284(5418). 1365-8					

	·	,	
Examiner Signature		Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control

number.

	Substitute for form	n 1449B/	PTO	Complete if Known		
INFORMATION DISCLOSURE				Application Number	10/535,042	
	STATEMENT BY APPLICANT			Filing Date	05/13/2005	
			•	First Named Inventor	Downes et al.	
(use as many sheets as necessary)				Group Art Unit	1646	
				Examiner Name	Unknown	
Sheet	4	of	5	Attorney Docket Number	SALK3140US-1 (088802-9803)	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	Τ ⁶
	A19	Pellicciari et al. (2002). 6-alpha-ethyl-chenodeoxycholic acid (6-ECDCA), a potent and selective FXR	
		agonist endowed with anticholestatic activity. J Med Chem. 45(17), 3569-72	
	A20	Rochel et al. (2000). The Crystal Structure of the Nuclear Receptor for Vitamin D Bound to its Natural Ligand. Mol Cell 5, 173-179	
	A21	Sinal et al. (2000). Targeted disruption of the nuclear receptor FXR/BAR impairs bile acid and lipid homeostasis. Cell: 102(6), 731-44	
	A22	Stehlin et al. (2001). X-ray structure of the orphan nuclear receptor RORbeta ligand-binding domain in the active conformation. EMBO J. 20(21), 5822-31	
	A23	Urizar et al (2000). The farnesoid X-activated receptor mediates bile acid activation of phospholipid transfer protein gene expression. J Biol Chem. 275(50), 39313-7	
	A24	Urizar et al. (2002). A natural product that lowers cholesterol as an antagonist ligand for FXR. Science. 296(5573), 1703-6	
	A25	Wang et al. (1999) Endogenous bile acids are ligands for the nuclear receptor FXR/BAR. Mol Cell. 3(5), 543-53	

Examiner	Date	
Signature	Considered	
	J	1

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

MODIFIED PTO/SB/08 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Substitute for form	1449B/	PTO	Complete if Known		
INFORMATION DISCLOSURE				Application Number	10/535,042	
STATEMENT BY APPLICANT			CANT	Filing Date	05/13/2005	
(use as many sheets as necessary)				First Named Inventor	Downes et al.	
				Group Art Unit	1646	
				Examiner Name	Unknown	
Sheet	5	of	5	Attorney Docket Number	SALK3140US-1 (088802-9803)	

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т6		
	A26	Watkins et al. (2001). The Human Nuclear Xenobiotic Receptor PXR: Structural Determinants of Directed Promiscuity, Science, 292, 2329-2333			
	A27	Xu et al. (2001). Structural determinants of ligand binding selectivity between the peroxisome proliferator-activated receptors. Proc Natl Acad Sci U S A. 98(24), 13919-24			

Examiner	Date
Signature	Considered

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.